

# Subscription Form legal entities

## Juno Continuation Fund



### Participant

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Postal Code / City: \_\_\_\_\_

Telephone: \_\_\_\_\_

E-mail: \_\_\_\_\_

Chamber of Commerce#: \_\_\_\_\_

Represented by: \_\_\_\_\_

IBAN/BIC<sup>i</sup> \_\_\_\_\_

<sup>i)</sup> **The subscription must take place from this account number. The name in which the account is held must be the same as the name of the subscribing entity.** This is also the bank account to which any distributions will be transferred. This is also the bank account to which any distributions will be transferred. If the legal entity is located outside of the Netherlands, the BIC code must be indicated.

### Subscription Amount

The legal entity wishes to subscribe for: **EUR** \_\_\_\_\_  
The minimum subscription amount is EUR 150.000,-<sup>ii</sup>

Please refer to page 3 for the account number and further instructions for the transfer of the subscription amount.

<sup>ii)</sup> In light of local rules and regulations the minimum subscription amount for Belgian entities is €250,000.-.

### Origin of Funds

The origin of the money to be invested into the fund by the legal entity is:

☐ income from active business activities: \_\_\_\_\_

☐ income from past business activities: \_\_\_\_\_

☐ income from investments : \_\_\_\_\_

☐ contribution on shares by UBO. Origin of the capital contributed:

- ◆ Income from employment
- ◆ Savings
- ◆ Inheritance Received
- ◆ Income from investments
- ◆ Real estate sales proceeds
- ◆ Family wealth
- ◆ Other: \_\_\_\_\_

☐ Other: \_\_\_\_\_

*Multiple responses allowed. We may need additional information to support the above. If necessary, we will ask you for this.*

## Declaration

By signing this subscription document, I, as legal representative of the legal entity, hereby declare/confirm the following:

- ◆ The legal entity I represent wishes to participate in the Juno Continuation Fund (the "fund") for the aforementioned amount;
- ◆ I am aware of and agree with the contents of the [prospectus \(including annexes\)](#) and the [key information document](#) of the Juno Continuation Fund;
- ◆ I choose to obtain the [key information document](#) and any future amendments to the document from the website and refrain from the option to receive this document on paper;
- ◆ I have completed this form truthfully and will inform Juno Investment Partners in The Hague ("Juno"), the manager of the fund, of any changes in aforementioned information within 30 days;
- ◆ I have taken note of the [privacy statement](#) on Juno's website and I agree that the information provided by me is used in connection with the administration and monitoring of the rights and obligations arising from my participation in the fund and to meet legal obligations;
- ◆ I am obliged to provide the Fund, upon first request, with all information the Fund deems necessary in its reasonable opinion, in order to comply with its obligation pertaining to the American Foreign Account Tax Compliance Act (FATCA), Common Reporting Standards (CRS) and the Wwft (Anti-Money Laundering and Anti-Terrorist Financing Act).

Name : \_\_\_\_\_

Date/Place : \_\_\_\_\_

Signature(s) : \_\_\_\_\_

## Return Form

Please send this form, together with all relevant documents (see below) either via regular mail or e-mail to:

Juno Investment Partners  
Lange Voorhout 16  
2514 EE The Hague  
The Netherlands

Tel: +31 (0)70 240 0247  
E-mail: [krikke-confidential@juno-invest.com](mailto:krikke-confidential@juno-invest.com)

## Account Details

The amount must be transferred to the following bank account no later than three working days before Transaction Date (as defined in the prospectus):

Bank:	ABN AMRO Bank N.V. in Amsterdam
IBAN:	NL86ABNA0867766832
BIC/SWIFT:	ABNANL2A
Name of account:	Stichting Juridisch Eigenaar Juno Continuation Fund
Indication:	Juno Continuation Fund

## Quotation of Issue

PLEASE NOTE: The Participant agrees to be granted the number of participations at the Net Asset Value (as defined in the prospectus) at the first possible Transaction Date following receipt by Stichting Juridisch Eigenaar Juno Continuation Fund of the amount as quoted on the subscription form. Entry fees are set at 0.75%, of which 0.25% are at all times credited to the Fund.

## Mandatory Information

### Legal Entities

The subscription form must be accompanied by:

- ♦ a **recent extract of the Chamber of Commerce** (not older than 3 months);
- ♦ a **copy of a valid passport of the person(s) authorized to represent the legal entity**; and
- ♦ a **completed Self Certification Form for legal entities** (which can be found on the Juno Investment Partners website).

### Ultimate Beneficial Owners

In light of the Anti-Money Laundering and Anti-Terrorist Financing Act (Wwft), we require from **each** ultimate beneficial owner:

- ♦ a **copy of a valid passport**;
- ♦ a copy of a recent (not older than 3 months) **utility bill or bank statement** to verify their private address; and
- ♦ a **completed Tax Residency form** (which can be found on the Juno Investment Partners website).

An ultimate beneficial owner is a natural person who directly or indirectly has more than 25% of ownership, or is entitled to more than 25% of the votes in the general meeting, or is beneficiary of 25% or more of the legal entity's capital.

In certain cases additional information may be requested in light of Dutch regulations.



## Entity Self-Certification

### **Instructions for completion**

*Tax regulations\* require us to collect certain information about each investor's tax residency and classifications. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be obliged to share this information with relevant tax authorities.*

*If any of the information below about your tax residence classification changes in the future, please ensure you advise us of these changes promptly. If you have any questions about how to complete this form, please contact your tax advisor.*

\*Tax Regulations

The term "tax regulations" refers to regulations created to enable automatic exchange of information and include FATCA, various Agreements to Improve International Tax Compliance entered into between the UK and its Crown Dependencies and its Overseas Territories and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information

### **Section 1: Investor Identification**

Investor's Name	Date of Incorporation/Organization	Country
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#### **Registered Address:**

Number & Street	City/Town
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State/Province/County	Post Code	Country
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#### **Mailing address (if different from above):**

Number & Street	City/Town
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State/Province/County	Post Code	Country
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### **Section 2: U.S. or United Kingdom Persons**

Please tick and complete as appropriate.

(a) ☐ The entity is a **Specified U.S. Person** and the entity's U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

\_\_\_\_\_.

(b) ☐ The entity is a U.S. Person that is not a Specified U.S. Person. Indicate exemption<sup>1</sup> \_\_\_\_\_.

(c) ☐ The entity is a **Specified United Kingdom Person** and the entity's United Kingdom identifying tax number is as follows:

\_\_\_\_\_.

(d) ☐ The entity is a United Kingdom Person that is not a Specified United Kingdom Person. Indicate exemption<sup>2</sup>

\_\_\_\_\_.

<sup>1</sup> Under the US IGA and in the U.S. Internal Revenue Code, Specified US Person does not include: An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37); The United States or any of its agencies or instrumentalities; A state, the District of Columbia, a possession of the United States, or any of their political subdivisions, or instrumentalities; A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i); A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i); A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state; A real estate investment trust; A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940; A common trust fund as defined in section 584(a); A bank as defined in section 581; A broker; A trust exempt from tax under section 664 or described in section 4947; or A tax-exempt trust under a section 403(b) plan or section 457(g) plan.

<sup>2</sup> Under the UK IGA, Specified UK Person does not include: A corporation the stock of which is regularly traded on one or more established securities markets or a member of the same EAG; A depository Institution; A broker or dealer in securities, commodities, or derivative financial instruments that is registered as such under the laws of the United Kingdom; or a Non-Reportable United Kingdom Entity as defined in Annex II paragraph V.

**Complete Section 3 if you have non-U.S. or non-UK tax residencies.**

### Section 3: Declaration of Tax Residency (other than U.S. or U.K.)

Please indicate the Entity's place of tax residence (if resident in more than one country please detail all countries and associated tax reference number type and number).

Country/countries of tax residency	Tax reference number type	Tax reference number

**Complete Section 4 and proceed to Section 5: Declaration and Undertakings.**

### Section 4: Entity FATCA Classification

**4.1** If you are a **Registered Financial Institution**, please tick one of the below categories, and provide your *FATCA GIIN* at 4.1.1.

- (a) ☐ IGA Partner Jurisdiction Financial Institution
- (b) ☐ Registered Deemed Compliant Foreign Financial Institution
- (c) ☐ Participating Foreign Financial Institution

**4.1.1** Please provide your *Global Intermediary Identification number (GIIN)*: \_\_\_\_\_

**4.2** If you are a **Financial Institution but unable to provide a GIIN**, please tick one of the below reasons:

- (a) ☐ The Entity is a Model 1 Financial Institution and has not yet obtained a GIIN but intends to do so, if required.
- (b) ☐ The Entity is a Sponsored Financial Institution and has not yet obtained a GIIN but is sponsored by another entity that has registered as a Sponsoring Entity. Please provide the Sponsoring Entity's name and GIIN.  
Sponsoring Entity's Name: \_\_\_\_\_ Sponsoring Entity's GIIN: \_\_\_\_\_
- (c) ☐ The Entity is a Trustee Documented Trust. Please provide your Trustee's name and GIIN.  
Trustee's Name: \_\_\_\_\_ Trustee's GIIN: \_\_\_\_\_
- (d) ☐ The Entity is a Certified Deemed Compliant, or otherwise Non-Reporting, Foreign Financial Institution (including a Foreign Financial Institution deemed compliant under Annex II of an IGA, except for a Trustee Documented Trust or Sponsored Financial Institution). Indicate exemption: \_\_\_\_\_
- (e) ☐ The Entity is an Excepted Foreign Financial Institution. Indicate exemption: \_\_\_\_\_
- (f) ☐ The Entity is a Non-Participating Foreign Financial Institution
- (g) ☐ The Entity is a US Financial Institution

**4.3** If you are not a Foreign Financial Institution, please confirm the Entity's FATCA status below:

- (a) ☐ The Entity is an **Exempt Beneficial Owner** Indicate status: \_\_\_\_\_
- (b) ☐ The Entity is an **Active Non-Financial Foreign Entity** (including an Excepted NFFE)
- (c) ☐ The Entity is a **Passive Non-Financial Foreign Entity** (please complete table below providing details of any **Controlling Persons**<sup>3</sup>)

<sup>3</sup> Means the natural persons who exercise control over an Entity. For companies and similar legal persons, it depends on the ownership structure of the company and will include any person owning 25% or more of the company (or legal person). For trusts and other similar legal arrangements, it will include the settlor, the trustee(s), the protector (if any), the beneficiaries, and any other natural person exercising ultimate effective control over the trust.

Full Name	Date of birth	Full residence address	Details of controlling person's beneficial interest*	Country(ies) of tax residence	Tax reference type and number

***\*Natural persons that are Controlling Persons should also complete the Individual Self-Certification***

## Section 5: Declaration and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete. I/We undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs, which causes any of the information contained in this form to be inaccurate or incomplete. Where legally obliged to do so, I/we hereby consent to the recipient sharing this information with the relevant tax information authorities.

Authorised Signature: \_\_\_\_\_

Authorised Signature: \_\_\_\_\_

Position/Title: \_\_\_\_\_

Position/Title: \_\_\_\_\_

Date: (dd/mm/yyyy): \_\_\_\_\_

Date: (dd/mm/yyyy): \_\_\_\_\_

# Tax Residency – Self Certification UBOs

## Juno Continuation Fund



### Introduction

Tax regulations\* require us to collect certain information about the tax residency and classifications of each ultimate beneficial owner ("UBO")\*\* of our investors. We therefore kindly ask you to complete the sections below as directed. **Each UBO must complete their own form.** Please note that in certain circumstances we may be obliged to share this information with relevant tax authorities. If any of the information below about your tax residence classification changes in the future, please ensure you advise us of these changes promptly. If you have any questions about how to complete this form, please contact your tax advisor.

\*The term "tax regulations" refers to regulations created to enable automatic exchange of information and include FATCA and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Account Information.

\*\*In short, an UBO is the natural person that:

- ◆ Holds a direct or indirect interest of more than 25% in the legal entity's capital, or
- ◆ Has more than 25% of the voting rights at the legal entity's general meeting', or
- ◆ Is beneficiary of at least 25% of the assets of the legal entity

### 1. Details of the UBO

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Postal Code / City: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Place of Birth: \_\_\_\_\_

UBO of: \_\_\_\_\_

Interest (direct/indirect)%: \_\_\_\_\_

### 2. Tax resident of the Netherlands

Are you a tax resident of the Netherlands? ☐ Yes ☐ No

### 3. Tax resident of the United States of America or declaration of American citizenship

Please tick the relevant box and provide the requested information.

- ☐ I confirm that I am not an American citizen nor do I live in the United States of America for tax reasons
- ☐ I confirm that I am an American or that I live in the United States of America for tax reasons (as a green card holder or as a resident that meets the substantial presence test). My 'US federal taxpayer identification number (US TIN) is: \_\_\_\_\_
- ☐ I confirm that I was born in the United States (or on American territory), but that I am no longer an American citizen and that I have voluntarily given up my American citizenship, as confirmed by the documents I will attach.

### 4. Tax resident in other countries

Are you, either exclusively or additionally, tax resident in any other country than the Netherlands or the United States of America?

- ☐ No, I confirm that I am not a tax resident in any other country than the Netherlands or the United States of America.
- ☐ Yes, I confirm that I am (also) a tax resident in the following country or countries:

*Country Name*

*Personal Tax Identification Number*

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

### 5. Declaration

**I declare that I (as UBO or as authorized representative of the legal entity):**

- ◆ have completed this form truthfully; and
- ◆ will inform Juno Investment Partners, within 30 days, of any changes in the abovementioned information.
- ◆ was given permission by the UBO stated in this document to provide his/her personal information with the intention to share this information with the tax authorities.

Date : \_\_\_\_\_

Place : \_\_\_\_\_

Name : \_\_\_\_\_

Signature : \_\_\_\_\_

### Return Form

Please send this form to:

Juno Investment Partners  
Lange Voorhout 16  
2514 EE The Hague  
The Netherlands

Tel: +31 (0)70 240 0247  
E-mail: [krikke-confidential@juno-invest.com](mailto:krikke-confidential@juno-invest.com)